



DoD DIRECTIVE 5111.01

UNDER SECRETARY OF DEFENSE FOR POLICY (USD(P))

Originating Component: Office of the Chief Management Officer of the Department of Defense

Effective: June 23, 2020

Releasability: Cleared for public release. Available on the Directives Division Website at <https://www.esd.whs.mil/DD/>.

Reissues and Cancels: DoD Directive 5111.1, "Under Secretary of Defense for Policy (USD(P)),” December 8, 1999

Incorporates and Cancels: Deputy Secretary of Defense Memorandum, "Delegation of Authority to Approve National Guard State Drug Interdiction and Counterdrug Activities Plans and Report to Congress on Counterdrug Schools,” May 15, 2018

Cancels: Deputy Secretary of Defense Memorandum, "Delegation of Authority to Make Determinations and Submit Reports and Notifications to Congress Relative to the Cooperative Threat Reduction Program,” February 16, 2018

Approved by: David L. Norquist, Deputy Secretary of Defense

Purpose: This issuance updates the responsibilities and functions, relationships, and authorities of the USD(P) and authorizes the USD(P), as a Principal Staff Assistant (PSA) reporting directly to the Secretary of Defense, to establish DoD policy in DoD issuances within the responsibilities and functions and authorities assigned in this issuance, and to reissue chartering DoD Directives (DoDDs) for OSD officials who were appointed by the President with the advice and consent of the Senate and who are under the authority, direction, and control of the USD(P), in accordance with DoD Instruction (DoDI) 5025.01, pursuant to the authority vested in the Secretary of Defense by Sections 113 and 134 of Title 10, United States Code (U.S.C.).

TABLE OF CONTENTS

SECTION 1: GENERAL ISSUANCE INFORMATION	3
1.1. Applicability	3
SECTION 2: RESPONSIBILITIES AND FUNCTIONS	4
SECTION 3: RELATIONSHIPS	13
3.1. USD(P).....	13
3.2. OSD PSAs and DoD Component Heads.	14
SECTION 4: AUTHORITIES.....	15
GLOSSARY	19
G.1. Acronyms.....	19
G.2. Definitions.....	20
REFERENCES	21

SECTION 1: GENERAL ISSUANCE INFORMATION

1.1. APPLICABILITY.

This issuance applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff (CJCS) and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within DoD (referred to collectively in this issuance as the “DoD Components”).

SECTION 2: RESPONSIBILITIES AND FUNCTIONS

The USD(P) is the PSA and advisor to the Secretary of Defense for all matters regarding the formulation of national security and defense strategy and policy and the integration and oversight of DoD policy, strategy, plans, execution, and capabilities to achieve national security objectives. In the exercise of assigned responsibilities, the USD(P):

- a. Represents DoD in matters involving the National Security Council; the Department of State; and other Federal departments, agencies, and interagency groups with responsibility for national security policy.
- b. Serves as a member of the National Security Council Deputies' Committee and the Deputies' Committee for Crisis Management; advises the Secretary of Defense on crisis prevention and management, including planning for major areas of concern.
- c. Serves as a statutory member of the Nuclear Weapons Council and the Council on Oversight of the National Leadership Command, Control, and Communications System.
- d. Establishes DoD policy for defense-related international agreements and represents DoD in negotiating such agreements unless authority is otherwise delegated.
- e. Serves as an advisor to the Joint Requirements Oversight Council on matters within the USD(P)'s authority and expertise.
- f. Oversees:
 - (1) Development, implementation, and integration across DoD of the National Defense Strategy (as described by Section 113 of Title 10, U.S.C.) and strategic policy guidance for DoD activities across all geographic regions and military functions and domains.
 - (2) Integration of DoD activities into the National Security Strategy of the United States.
- g. Oversees the preparation of policy guidance for the development of the global force posture.
- h. In coordination with the General Counsel of the Department of Defense (GC DoD) and in accordance with Section 112b of Title 1, U.S.C., Part 181 of Title 22, Code of Federal Regulations, and DoDI 5530.03, authorizes the negotiation and conclusion of categories of international agreements and delegates authority to the DoD Component heads to approve negotiation and conclusion of categories of international agreements.
- i. Establishes DoD policy and positions for international negotiations and consultations on arms control implementation and DoD policy for compliance issues.
- j. Establishes DoD policy on the conduct of alliances, partnerships, and other defense relationships with foreign governments and their military establishments and international organizations; integrates plans and programs undertaken with those alliances, partnerships, and

other defense relationships; develops regional security and defense strategy, policy, and posture guidance; provides advice and issues guidance to translate global and functional policies into region- and country-specific strategies; and oversees implementation of these matters in coordination with other appropriate DoD officials.

k. Establishes and oversees international security strategy and policy as well as political-military policy on issues of DoD interest relating to foreign governments and their defense establishments, including arrangements for the presence of U.S. forces, use of host-nation military facilities, access to host-nation territory and operating rights, and status protections for U.S. DoD personnel deployed abroad.

l. Assists the Secretary of Defense in carrying out assigned responsibilities as the U.S. National Security Authority for the North Atlantic Treaty Organization (NATO), serves as the primary focal point for staff coordination on those NATO matters internal and external to DoD, and designates a senior official as the U.S. representative to the NATO Security Committee.

m. Provides oversight of strategic policy and guidance and overall resource allocation for security cooperation programs and activities of DoD, as assigned by the Secretary of Defense pursuant to Section 382(a) of Title 10, U.S.C.

n. Establishes DoD policy, issues guidance, and oversees the implementation of policies, strategy, and planning for DoD security cooperation programs and activities, in accordance with DoDD 5132.03; and manages and oversees the security cooperation assessment, monitoring, and evaluation enterprise, in accordance with DoDI 5132.14.

o. Establishes policy, in consultation with OSD and DoD Component heads as appropriate, and guidance for all security force assistance efforts, in accordance with DoDI 5000.68.

p. Develops policy guidance, through the Assistant Secretary of Defense for Homeland Defense and Global Security (ASD(HD&GS)), for the programs and activities of the DoD Cooperative Threat Reduction (CTR) Program authorized in the relevant sections of Chapter 48, Title 50, U.S.C. Ensures that the ASD(HD&GS) collaborates closely with the Assistant Secretary of Defense for Nuclear, Chemical, and Biological Defense Programs to facilitate effective oversight of the DoD CTR Program, in accordance with DoDDs 2060.02, 5105.62, 5111.13, and 5134.08.

q. Develops, coordinates, and oversees the implementation of DoD policy for stabilization, peace operations, civil affairs, humanitarian assistance, foreign disaster relief, global health engagement, humanitarian demining programs, responses to overseas migration emergencies, non-combatant evacuation activities, and explosive ordnance disposal training and technology, in accordance with DoDDs 2000.13, 2065.1E, 3000.05, 5100.46, 5160.62, and DoDIs 2000.30 and 2205.02.

r. Establishes and oversees DoD policy for developing stabilization capabilities and for DoD participation in stability operations, and ensures that stabilization-related capabilities are integrated into DoD campaign and contingency plans and broader U.S. Government planning efforts.

s. As the PSA to the Secretary of Defense for oversight of DoD information operations (IO), in accordance with DoDD 3600.01, serves as the primary coordination point of contact for DoD Components and other U.S. Government organizations for issues related to IO. Links OSD, Joint, and Military Service IO policies, capabilities, activities, and programs. Fully integrates IO with national and DoD strategy and planning functions.

t. Establishes DoD policy on military information support operations (MISO), in accordance with DoDI O-3607.02; reviews and approves all MISO programs conducted during peacetime or for contingencies short of declared war. Provides oversight of DoD's operational MISO budget.

u. Establishes DoD policy and procedures for, and coordinates and oversees the implementation of, the DoD Rewards Program authorized by Section 127b of Title 10, U.S.C. Pursuant to a delegation of authority from the Secretary of Defense, approves offering and making rewards in an amount of or with a value up to and including \$2,000,000; and approves Combatant Commander requests to delegate authority to offer and make rewards to a commander of a directly subordinate command. These authorities may not be delegated further.

v. Ensures special access program (SAP) oversight by establishing a SAP Central Office and designating a SAP Central Office Director to be responsible for executing the responsibilities outlined in DoDD 5205.07:

(1) Exercises cognizance and oversight of all designated DoD policy SAPs and personnel granted access to these programs.

(2) Manages and oversees DoD Alternative Compensatory Control Measures, consistent with Volume 3 of DoD Manual (DoDM) 5200.01.

w. Establishes and oversees the implementation of overarching defense policy integrated and derived from national and DoD-level guidance, including the National Space Policy and the National Security Space Strategy. Establishes and implements policy for DoD space activities. Ensures that all space and space-related DoD policies are consistent with the overarching defense space policy framework. Oversees DoD space-related international cooperation activities and implementation of DoD strategy and plans related to space forces, systems, and their employment. Ensures the integration of space capabilities into the operational force structure and operations and contingency plans.

x. Provides policy guidance and oversight for deterrence and extended deterrence, including the posture, deployment, and employment of nuclear forces; missile defense capabilities; and critical enablers, including national leadership and nuclear command, control, and communications, continuity of operations (COOP), continuity of government (COG), and enduring constitutional government (ECG). Establishes cooperative relationships with allies and partners on COOP and COG. Reviews and evaluates plans, programs, and requirements for such forces, systems, and capabilities to ensure consistency with the strategy and policy.

y. Establishes DoD policy for developing and adjusting U.S. military capabilities and resources to align with national- and DoD-level strategy and priorities. Serves as the principal advisor for the planning phase of the DoD Planning, Programming, Budgeting, and Execution (PPBE) process; prepares and coordinates written Secretary of Defense planning guidance for

prioritizing joint force capabilities, capacities, and resources, including through DoD Components' program objective memorandums; conducts force planning assessments to identify strategic and operational risk to the strategy and the programmed Joint Force, and seeks concepts, capabilities, and other ways and means to manage and mitigate near- and long-term risk; and monitors the degree to which DoD plans, programs, and budgets underwrite national and DoD-level strategy and priorities.

z. Establishes DoD policy and issues guidance for the preparation and review of all campaign plans and contingency plans, pursuant to Section 134(b)(2) of Title 10, U.S.C.

(1) Drafts, coordinates, and publishes the contingency planning guidance, as approved by the President. Such guidance will include the policy constraints and restraints for the nation's most pressing contingencies and will detail the regional policy priorities that drive day-to-day military activities.

(2) Provides policy direction to development of threat-based, resource-informed, integrated campaign and contingency plans.

(3) Oversees the process for preparing campaign and contingency plans throughout the plan development.

(4) Convenes plan reviews and supports Secretary of Defense plan reviews, including Combatant Command briefings to OSD PSAs and relevant OSD offices on the contingency plans to ensure the plans are based on sound policy foundation, are designed to meet the directed end-state, and are integrated with other relevant Combatant Command plans.

(5) Facilitates interagency engagement on campaign and contingency plans on behalf of DoD.

aa. Establishes DoD policy, issues guidance, and oversees the implementation of policies for combatting terrorism and irregular warfare.

ab. Establishes DoD policy for special operations activities. Oversees the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict (ASD(SO/LIC)) in the performance of that official's duties. Provides overall supervision of special operations and low-intensity conflict-related activities, including, but not limited to, oversight of policy and resources, consistent with Sections 138 and 167 of Title 10, U.S.C.

ac. Establishes, develops, and implements DoD counterdrug (CD) and countering-transnational organized crime (CTOC) policy, conducts analysis, makes recommendations, and issues guidance for the DoD CD and CTOC missions. These missions include countering the trafficking of money, human trafficking, illicit financial flows, illegal trade in natural resources and wildlife, trade in illegal drugs and weapons, maritime piracy, and other forms of illegal means. Directs and oversees DoD CD and CTOC activities, including PPBE for the DoD Drug Interdiction and CD Activities programs.

ad. Establishes and oversees the implementation of DoD policy and plans for counter-threat financing activities and capabilities, and ensures integration of these policies with the efforts of interagency partners, pursuant to DoDD 5205.14.

ae. Provides mid- and long-range DoD policy planning on strategic security matters and emerging national security issues, including comprehensive strategy development and related programs; develops defense planning scenarios; and plans and oversees related research activities and programs.

af. Establishes and oversees DoD policy to counter the acquisition, proliferation, or use of chemical, biological, radiological, or nuclear material or weapons against the United States, its Military Services, and U.S. allies and partners by state or non-state actors. Such responsibility includes the establishment of policy for the DoD CTR Program and other countering weapons of mass destruction activities and ensuring that such activities are in accordance with U.S. national security policy.

ag. Establishes DoD policy for international technology transfers, including, but not limited to, defense-related goods, services, and technologies. Provides policy oversight and supervision of DoD activities relating to export controls and technology transfers pursuant to Section 134(b)(3) of Title 10, U.S.C. Co-chairs the Arms Transfer and Technology Release Senior Steering Group and oversees its initiatives and efforts, in accordance with DoDD 5111.21.

ah. Establishes and oversees the implementation of national and DoD policy for:

(1) Security matters related to international programs and commercial exports.

(2) The disclosure of classified military information and foreign engagement policy, in accordance with the National Disclosure Policy-1, DoDD 5230.11, and DoDI 5200.01.

(3) Implementation of NATO security policies, practices, and procedures and oversight of the Central U.S. Registry for NATO classified information.

ai. Establishes and oversees the implementation of DoD policy for:

(1) Visits and assignments of foreign national personnel to DoD Components and DoD contractor facilities under the International Visits Program, the Defense Personnel Exchange Program, the Foreign Liaison Officers Program, the Cooperative Personnel Program, and other programs governing foreign personnel exchanges and visits in accordance with DoDD 5230.20.

(2) International security countermeasures, including provisions for protection of critical program information during negotiations of agreements with foreign governments and international organizations, in accordance with DoDI 5200.39.

(3) Procedures for administering the Security Policy Automation Network's family of systems, including the U.S. National Disclosure Policy System, the Defense Foreign Visit System, the U.S. Export System, and the Defense Patent Application Retrieval System.

aj. Establishes and oversees DoD continuity policy and serves as the DoD Continuity Coordinator, in accordance with Presidential Policy Directive-40 and DoDD 3020.26; is the DoD single point of contact for other Federal departments and agencies for continuity matters, in coordination with the Chief Information Officer of the Department of Defense and the CJCS; and as such:

(1) In coordination with the Chief Information Officer of the Department of Defense, develops and distributes requirements for continuity communications capabilities supporting DoD and national missions.

(2) Provides overarching National Leadership Command Capability policy guidance, priorities, and goals for COOP, COG, and ECG.

(3) Advises the Secretary of Defense on all aspects of COOP, COG, and ECG, including execution of the mission and operational requirements.

(4) Provides operational guidance and policy direction for and oversees the PPBE of DoD continuity capabilities to ensure that COOP, COG, and ECG operational requirements are validated and prioritized.

ak. Establishes and oversees DoD policy for homeland defense and defense support of civil authorities through the ASD(HD&GS), including overall supervision of homeland defense activities of DoD and of other DoD activities conducted in the homeland and elsewhere, which include, but are not limited to, defense support of civil authorities; and support of national preparedness, defense crisis management, COOP, and COG, in accordance with DoDDs 3025.13 and 3025.18. Serves as the DoD focal point for:

(1) Defense support of civil authorities, including support of civilian law enforcement agencies, special events, and National Security Special Events, in accordance with DoDIs 3025.20 and 3025.21; domestic chemical, biological, radiological, and nuclear response; and public health emergencies.

(2) National preparedness and support of Presidential Policy Directive-8.

(3) Policy for air, land, and maritime domains, ensuring air and maritime domain awareness and support to law enforcement agencies responsible for securing U.S. national borders.

(4) Matters concerning transfer of DoD technology items, equipment, and services to Federal, State, and local government first responders in support of public safety, homeland security, and antiterrorism efforts, in accordance with DoDI 5535.10.

(5) Development, coordination, and oversight of DoD global antiterrorism and counterterrorism policies, in accordance with DoDIs 2000.12 and O-2000.16; DoD high-risk personnel policy, in accordance with DoDI O-2000.22; in coordination with the Under Secretary of Defense for Intelligence and Security, policy for the security of DoD personnel abroad, in accordance with DoDI 5210.84 and 5200.08; counterterrorism threat information sharing; and

domestic counterterrorism policy, including the prevention of terrorist use of weapons of mass destruction in the homeland.

al. Establishes, in coordination with the GC DoD, and oversees the implementation of DoD policy related to the protection of civilians during U.S. military operations, including policies related to mitigating and responding to incidents of civilian casualties, consistent with the law of war. Designates the civilian official within the Office of the Secretary of Defense at or above the level of Assistant Secretary of Defense to develop, coordinate, and oversee compliance with DoD policy relating to civilian casualties resulting from U.S. military operations, pursuant to Section 936 of the John S. McCain National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2019.

am. Develops, coordinates, and establishes, in coordination with the GC DoD, DoD detention policy pertaining to all individuals captured by, or transferred to the custody or control of, DoD personnel under the law of war, including enemy prisoners of war, civilian internees, and unprivileged belligerents, and serves as the lead policy proponent for such matters, including providing guidance to the DoD Executive Agent for the administration of the DoD Detainee Program, in accordance with DoDD 2310.01E, and. consistent with the law of war.

an. Establishes, in coordination with the GC DoD, policy for DoD efforts to promote the rule of law and respect for human rights.

ao. Establishes personnel recovery strategy and policy, and exercises operational oversight of personnel recovery through the ASD(SO/LIC).

ap. Oversees the implementation of DoD policy regarding efforts to locate, recover, and identify remains of unaccounted-for DoD personnel from past conflicts listed in Section 1509 of Title 10, U.S.C., and other conflicts designated by the Secretary of Defense, in accordance with Sections 1501-1513 of Title 10, U.S.C., and DoDD 5110.10, and reviews and evaluates plans, programs, and system requirements for such efforts to ensure consistency with strategy and policy.

aq. Establishes and oversees the implementation of DoD cyberspace policy and strategy. Integrates national cyberspace policy and guidance with DoD cyberspace policy. Provides guidance and oversight on DoD cyberspace activities as they relate to foreign cyberspace threats, international cooperation, engagement with foreign partners and international organizations, and implementation of DoD cyberspace strategy and plans, including those related to cyberspace forces, capabilities, and their employment.

(1) Advises and assists the DoD Component heads on DoD cyberspace responsibilities related to issues and activities that are under their purview.

(2) Engages Federal departments and agencies, interagency groups, and Congress on cyberspace policy and strategy.

(3) Exercises authority, direction, and control over the Principal Cyber Advisor (PCA), who is selected pursuant to Section 932 of the NDAA for FY 2014, and who exercises authority, direction, and control with respect to the administration and support of U.S. Cyber Command,

including matters relating to the readiness and organization of forces assigned to U.S. Cyber Command, cyber operations-peculiar equipment and resources, and civilian personnel, and who provides overall supervision of DoD cyber activities related to offensive missions, defense of the United States, and defense of DoD networks, including oversight of policy and operational considerations, resources, personnel, and acquisition and technology, consistent with Section 167b of Title 10, U.S.C., and Section 932 of the NDAA for FY 2014. The USD(P) designates, in coordination with the Joint Staff, a military officer to be assigned to the Office of the USD(P) and designated to serve concurrently as the Senior Military Advisor for Cyber Policy and the Deputy PCA pursuant to Section 905 of the NDAA for FY 2020.

ar. Represents DoD in interagency and international identity resolution information-sharing agreements, arrangements, and negotiations.

as. Completes the required assessment of the necessity and sustainability of a covered capital project, in consultation with the commander of military operations in the country in which the project is to be carried out, before the obligation or expenditure of any appropriated funds for the covered capital project as directed in the May 14, 2013, Deputy Secretary of Defense Memorandum.

at. Oversees the ASD(HD&GS) as the Lessons Learned Manager for OSD, in accordance with direction in the November 6, 2012, Deputy Secretary of Defense Memorandum.

au. Develops and oversees DoD mission assurance policy and defense critical infrastructure policy, in accordance with DoDD 3020.40. Manages the assigned sector-specific agency responsibilities for the national Defense Industrial Base, in accordance with Presidential Policy Directive-21.

av. Establishes DoD policy and assigns responsibilities for the Freedom of Navigation Program, in accordance with Presidential Decision Directive-32 and the notification of Significant Military Exercises and Freedom of Navigation assertions.

aw. Establishes DoD policy and assigns responsibilities governing U.S. military aircraft operations and missile and projectile firing activities in international airspace.

ax. In coordination with the CJCS and the Director of Cost Assessment and Program Evaluation:

(1) Develops planning scenarios that describe the present and future strategic and operational environments by which to assess joint force capabilities and readiness.

(2) Develops specific objectives that the joint force should be ready to achieve, and conducts assessments of the capability (in terms of both capacity and readiness) of the joint force to achieve such objectives.

ay. Develops and implements detailed procedures on reporting gross violations of human rights (GVHR) by units of foreign security forces and on sharing all such GVHR reports on a timely basis with the Department of State in accordance with Section 362 of Title 10, U.S.C., and the August 18, 2014, Secretary of Defense memorandum; coordinates, as appropriate, with

the Department of State to develop procedures for vetting units of foreign security forces on a program-specific or case-specific basis; approves and transmits a report to the appropriate committees of Congress within 15 days of the exercise of an exception or a waiver under Section 362 of Title 10, U.S.C.; and approves the “all necessary corrective steps” exceptions under Section 362 of Title 10, U.S.C., when DoD and Department of State have reached consensus that a unit should be eligible for assistance, consistent with the guidance in the February 10, 2015, Secretary of Defense memorandum.

az. Ensures that assigned policies and programs are designed and managed to improve standards of performance, ethics, economy, and efficiency and that all of the Defense Agencies and DoD Field Activities under the authority, direction, and control of the USD(P) are attentive and responsive to the requirements of their organizational customers, both internal and external to DoD.

ba. Periodically assesses the DoD Executive Agent assignments under the cognizance of the USD(P) for continued need, currency, and effectiveness and efficiency in satisfying end-user requirements, in accordance with DoDD 5101.1.

bb. Uses existing systems, facilities, and services of DoD and other Federal departments and agencies, when possible, to avoid duplication and achieve maximum efficiency and economy.

bc. Performs such other duties as the Secretary of Defense may prescribe.

SECTION 3: RELATIONSHIPS

3.1. USD(P).

In performing his or her assigned responsibilities and functions, the USD(P):

- a. Reports directly to the Secretary of Defense.
- b. Exercises authority, direction, and control over:
 - (1) The Deputy Under Secretary of Defense for Policy.
 - (2) The Assistant Secretary of Defense for Indo-Pacific Security Affairs.
 - (3) The ASD(HD&GS).
 - (4) The Assistant Secretary of Defense for International Security Affairs.
 - (5) The ASD(SO/LIC), consistent with Sections 138 and 167 of Title 10, U.S.C.
 - (6) The Assistant Secretary of Defense for Strategy, Plans, and Capabilities.
 - (7) The Chief Operating Officer to the USD(P).
 - (8) The Defense Advisor, U.S. NATO.
 - (9) The Director, Defense Prisoner of War/Missing in Action Accounting Agency.
 - (10) The Director, Defense Security Cooperation Agency.
 - (11) The Director, Defense Technology Security Administration.
 - (12) The Directors of the Regional Centers for Security Studies.
 - (13) The PCA.
 - (14) Such other positions and organizations as may be established by the USD(P), consistent with applicable law, using resources provided by the Secretary of Defense.
- c. Coordinates and exchanges information with other OSD officials, the DoD Component heads, and Federal, State, or local officials having collateral or related functions.
- d. Coordinates DoD nuclear, chemical, biological, and radiological matters with the Under Secretary of Defense for Acquisition and Sustainment, in accordance with DoDDs 2060.02, 5105.62, 5111.13, 5134.01, and 5134.08.

e. Coordinates all medical- and public-health-related matters with the Under Secretary of Defense for Personnel and Readiness, in accordance with DoDD 5124.02.

3.2. OSD PSAS AND DOD COMPONENT HEADS.

The OSD PSAs and DoD Component heads coordinate with the USD(P) on all matters, under their purview, related to the responsibilities and functions and authorities assigned to the USD(P) in this issuance.

SECTION 4: AUTHORITIES

Pursuant to the authority vested in the Secretary of Defense, and subject to his or her authority, direction, and control, and in accordance with DoD policies and issuances, the USD(P) is hereby delegated authority to exercise, within assigned responsibilities and functions, all authority of the Secretary of Defense derived from statute, Executive order (E.O.), or interagency agreement, except where specifically limited by statute or E.O. to the Secretary of Defense. The USD(P) is specifically delegated authority to:

a. Establish DoD policy through DoDIs, Directive-type Memorandums (DTMs), and rules published in the Federal Register, within the authorities and responsibilities assigned in this issuance and in accordance with DoDI 5025.01 or Administrative Instruction (AI) 102.

(1) In those documents, assign responsibilities related to the authorities and responsibilities in this issuance to other OSD PSAs and the DoD Component heads. Assignment of responsibilities in DoD issuances or rules published in the Federal Register to the Military Departments must be made through the Secretaries of those Departments. DoD issuances or rules published in the Federal Register assigning responsibilities to the Combatant Commands must be coordinated with the CJCS.

(2) DoDIs and DTMs must be fully coordinated in accordance with DoDI 5025.01. Rules published in the Federal Register must be fully coordinated with affected OSD and DoD Component heads and be consistent with AI 102.

(3) This authority may not be re-delegated.

b. Approve other DoDIs, DoDMs, and DTMs in areas of assigned responsibilities and functions that implement policy already established by a DoDD, DoDI, DTM, or policy memorandum issued by the Secretary or Deputy Secretary.

(1) In these documents, assign responsibilities related to the authorities and responsibilities in this issuance to other OSD PSAs and the DoD Component heads. Assignment of responsibilities in these DoD issuances to the Military Departments must be made through the Secretaries of those Departments. DoD issuances assigning responsibilities to the Combatant Commands must be coordinated with the CJCS.

(2) Such documents must be fully coordinated in accordance with DoDI 5025.01.

(3) This authority may only be further delegated to subordinate officials as specified in DoDI 5025.01.

c. Approve Federal Register publication of significant guidance documents as defined in E.O. 13891 and determined by the Office of Management and Budget. This authority may not be re-delegated.

d. Approve the Federal Register publication of documents other than rules and significant guidance documents (e.g., notices, orders, and non-significant guidance documents as defined by

E.O. 13891 and determined by the Office of Management and Budget) in accordance with AI 102. Unless otherwise restricted by law, this authority may be further delegated only in writing to subordinate officials at or above the level of a general or flag officer, Senior Executive Service member, or equivalent.

e. Reissue, when necessary, in accordance with DoDI 5025.01, Chartering DoDDs for those OSD officials who were appointed by the President with the advice and consent of the Senate and who are under the authority, direction, and control of the USD(P). This authority may not be re-delegated. The Chief Management Officer of the Department of Defense continues to develop and maintain these Chartering DoDDs, in accordance with DoDI 5025.01.

f. Communicate directly with other OSD PSAs and the DoD Component heads, as necessary, to carry out assigned responsibilities and functions, including transmitting requests for advice and assistance. Communications to the Military Departments must be transmitted through the Secretaries of the Military Departments or as otherwise provided in law or directed by the Secretary of Defense in other DoD issuances. Communications to the Combatant Commanders must be in accordance with DoDD 5100.01.

g. Communicate with other government officials, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities and functions. Communications with representatives and members of the Legislative Branch must be conducted through the Office of the Assistant Secretary of Defense for Legislative Affairs, except for communications with the Defense Appropriations Committees, which must be coordinated with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense.

h. Communicate with agencies of the executive branch and Congress on SAPs. For all SAP matters, the USD(P) must conduct communications with agencies of the executive branch and Congress, consistent with DoDD 5205.07.

i. Obtain reports and information, in accordance with DoDI 8910.01, as necessary, to carry out assigned responsibilities and functions.

j. Establish arrangements for DoD participation in non-DoD governmental programs for which the USD(P) is assigned primary DoD cognizance.

k. Enter into support agreements, as appropriate, for the effective performance of responsibilities and functions assigned to the USD(P), in accordance with DoDI 4000.19 and the February 13, 2020, Deputy Secretary of Defense Memorandum.

l. Designate an official from within the Office of the USD(P) to serve as the Executive Director of the Council of Governors, pursuant to E.O. 13528.

m. Grant waivers or reductions of nonrecurring cost recoupment charges and the authority for approving pro rata nonrecurring cost recoupment charges for major defense equipment, in accordance with Section 2751 of Title 22, U.S.C.; E.O. 13637; and DoDD 2140.02.

- n. Exercise Top Secret original classification authority, and issue appropriate classification guidance, in accordance with E.O. 13526 and Volume 1 of DoDM 5200.01.
- o. In accordance with the May 18, 2015, Secretary of Defense Memorandum, establish and oversee DoD policy for the DoD Rewards Program authorized by Section 127b of Title 10, U.S.C.
- p. Determine whether the objectives of using counter-drug funds of a joint task force to support counterterrorism and CTOC activities relate significantly to the objectives of providing support for CD activities by that joint task force or any other joint task force, and to exercise waiver authority, in accordance with the October 26, 2015, Secretary of Defense Memorandum.
- q. Exercise authorities supporting the South China Seas Initiative assigned to the Secretary of Defense, pursuant to Section 1263 of Public Law 114-92 and in accordance with the March 15, 2016, Secretary of Defense Memorandum.
- r. Exercise authorities supporting civilian law enforcement agencies, in accordance with the June 28, 2016, Deputy Secretary of Defense Memorandum.
- s. Exercise the authority assigned to the Secretary of Defense under Chapter 16 of Title 10, U.S.C., concerning security cooperation. This includes the authority: to approve security cooperation programs and activities; to obtain the concurrence of the Secretary of State; to make all necessary determinations, certifications, and notifications; and to submit reports required for program and activities pursuant to Section 382(a) of Title 10, U.S.C.
- t. Execute the authority and responsibility assigned to the Secretary of Defense in the relevant sections of Chapter 48, Title 50, U.S.C., also known as the “Department of Defense Cooperative Threat Reduction Act,” relating to the authority to approve and make determinations concerning the use of funds, to vary amounts authorized, to approve the receipt and use of contributions, and to submit reports and notifications to Congress under the DoD CTR Program. This authority may be delegated further, in writing, only to other civilian DoD officials appointed by the President with the advice and consent of the Senate.
- u. Execute the authority to review and approve State drug interdiction and CD activities plans.
- v. Pursuant to the undated Deputy Secretary of Defense Memorandum, execute the authority to designate the coordinator for cultural protection, consistent with Section 1279C of the NDAA for FY 2018.
- w. Execute the authority to formulate assistance programs jointly with the Secretary of State (or designee) under the Global Security Contingency Fund, provide concurrence in such programs approved by the Secretary of State, approve the amount of the DoD contribution to such programs, and submit joint notifications and reports to Congress in accordance with the June 10, 2019, Secretary of Defense Memorandum.

x. Exercise, consistent with the February 25, 2020, Deputy Secretary of Defense memorandum, the authority of the Secretary of Defense pursuant to Section 1213 of the NDAA for FY 2020, related to certain payments in the event of injury or loss by civilians during military operations, including prescribing implementing regulations.

GLOSSARY

G.1. ACRONYMS.

AI	administrative instruction
ASD(HD&GS)	Assistant Secretary of Defense for Homeland Defense and Global Security
ASD(SO/LIC)	Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict
CD	Counterdrug
CJCS	Chairman of the Joint Chiefs of Staff
COG	continuity of government
COOP	continuity of operations
CTOC	countering-transnational organized crime
CTR	cooperative threat reduction
DoDD	DoD directive
DoDI	DoD instruction
DoDM	DoD manual
DTM	directive-type memorandums
ECG	enduring constitutional government
E.O.	Executive Order
FY	Fiscal Year
GC DoD	General Counsel of the Department of Defense
IO	information operations
MISO	military information support operations
NATO	North Atlantic Treaty Organization
NDAA	National Defense Authorization Act
PCA	Principal Cyber Advisor
PPBE	planning, programming, budgeting, and execution
PSA	Principal Staff Assistant
SAP	special access program
U.S.C.	United States Code
USD(P)	Under Secretary of Defense for Policy

G.2. DEFINITIONS.

antiterrorism. Defined in DoD Dictionary of Military and Associated Terms.

combatting terrorism Defined in DoD Dictionary of Military and Associated Terms.

counterterrorism Defined in DoD Dictionary of Military and Associated Terms.

identity resolution. For the purposes of this issuance, an activity that determines the identity of individuals and objects that may be linked to individuals for operations, law enforcement, intelligence, and business practices via biometric, biographic, and other uniquely identifying information.

REFERENCES

- Administrative Instruction (AI) 102, “Office of the Secretary of Defense (OSD) Federal Register (FR) System,” November 6, 2006, as amended
- Code of Federal Regulations, Title 22, Part 181
- Deputy Secretary of Defense Memorandum, “Delegation of Authority Pursuant to Section 1213 of the National Defense Authorization Act for Fiscal Year 2020 - Authority for Certain Payments to Redress Injury and Loss,” February 25, 2020
- Deputy Secretary of Defense Memorandum, “Delegation of Authority for OSD Component Heads to Enter into Support Agreements,” February 13, 2020
- Deputy Secretary of Defense Memorandum, “Delegation of Approval Authority,” June 28, 2016
- Deputy Secretary of Defense Memorandum, “Delegation of Authorities Related to the Assessment of Certain Capital Projects in Connection with Overseas Contingency Operations,” May 14, 2013
- Deputy Secretary of Defense Memorandum, “Support to the Chairman’s Joint Lessons Learned Program,” November 6, 2012
- DoD Directive 2000.13, “Civil Affairs,” March 11, 2014, as amended
- DoD Directive 2060.02, “DoD Countering Weapons of Mass Destruction (WMD) Policy,” January 27, 2017
- DoD Directive 2065.01E, “DoD Personnel Support to the United Nations,” March 17, 2017, as amended
- DoD Directive 2140.02, “Recoupment of Nonrecurring Costs (NCs) on Sales of U.S. Items,” May 22, 2013, as amended
- DoD Directive 2310.01E, “DoD Detainee Program,” August 19, 2014
- DoD Directive 3000.05, “Stabilization,” December 13, 2018
- DoD Directive 3020.26, “DoD Continuity Policy,” February 14, 2018
- DoD Directive 3020.40, “Mission Assurance (MA),” November 29, 2016, as amended
- DoD Directive 3025.13, “Employment of DoD Capabilities in Support of the U.S. Secret Service (USSS), Department of Homeland Security (DHS),” October 8, 2010, as amended
- DoD Directive 3025.18, “Defense Support of Civil Authorities (DSCA),” December 29, 2010, as amended
- DoD Directive 3600.01, “Information Operations (IO),” May 2, 2013, as amended
- DoD Directive 5100.01, “Functions of the Department of Defense and Its Major Components,” December 21, 2010
- DoD Directive 5100.46, “Foreign Disaster Relief (FDR),” July, 6, 2012, as amended
- DoD Directive 5101.01, “DoD Executive Agent,” September 3, 2002, as amended
- DoD Directive 5105.62, “Defense Threat Reduction Agency (DTRA),” April 24, 2013, as amended
- DoD Directive 5110.10, “Defense Pow/Mia Accounting Agency (DPAA),” January 13, 2017
- DoD Directive 5111.13, “Assistant Secretary of Defense for Homeland Defense and Global Security (ASD(HD&GS)),” March 23, 2018

- DoD Directive 5111.21, “Arms Transfer and Technology Release Senior Steering Group and Technology Security and Foreign Disclosure Office,” October 14, 2014
- DoD Directive 5124.02, “Under Secretary of Defense for Personnel and Readiness (USD(P&R)),” June 23, 2008
- DoD Directive 5132.03, “DoD Policy and Responsibilities Relating to Security Cooperation,” December 29, 2016
- DoD Directive 5134.01, “Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)),” December 9, 2005, as amended
- DoD Directive 5134.08, “Assistant Secretary of Defense for Nuclear, Chemical, and Biological Defense Programs (ASD(NCB)),” January 14, 2009, as amended
- DoD Directive 5160.62, “Single Manager Responsibility for Military Explosive Ordnance Disposal technology and Training (EODT&T),” June 3, 2011, as amended
- DoD Directive 5205.07, “Special Access Program (SAP) Policy,” July 1, 2010, as amended
- DoD Directive 5205.14, “DoD Counter Threat Finance (CTF) Policy,” August 19, 2010, as amended
- DoD Directive 5230.11, “Disclosure of Classified Military Information To Foreign Governments and International Organizations,” June 16, 1992
- DoD Directive 5230.20, “Visits and Assignments of Foreign Nationals,” June 22, 2005
- DoD Instruction 2000.12, “DoD Antiterrorism (AT) Program,” March 1, 2012, as amended
- DoD Instruction 2000.30, “Global Health Engagement (GHE) Activities,” July 12, 2017
- DoD Instruction O-2000.16, Volume 1, “DoD Antiterrorism (AT) Program Implementation: DoD AT Standards,” November 17, 2016, as amended
- DoD Instruction O-2000.22, “Designation and Physical Protection of DoD High Risk Personnel,” June 19, 2014
- DoD Instruction 2205.02, “Humanitarian and Civic Assistance (HCA) Activities,” June 23, 2014, as amended
- DoD Instruction 3025.20, “Defense Support of Special Events,” April 6, 2012, as amended
- DoD Instruction 3025.21, “Defense Support of Civilian Law Enforcement Agencies,” February 27, 2013, as amended
- DoD Instruction O-3607.02, “Military Information Support Operations (MISO),” June 13, 2016
- DoD Instruction 4000.19, “Support Agreements,” April 25, 2013, as amended
- DoD Instruction 5000.68, “Security Force Assistance (SFA),” October 27, 2010
- DoD Instruction 5025.01, “DoD Issuances Program,” August 1, 2016, as amended
- DoD Instruction 5132.14, “Assessment, Monitoring, and Evaluation Policy for the Security Cooperation Enterprise,” January 13, 2017
- DoD Instruction 5200.01, “DoD Information Security Program and Protection of Sensitive Compartmented Information (SCI),” April 21, 2016, as amended
- DoD Instruction 5200.08, “Security of DoD Installations and Resources and the DoD Physical Security Review Board (PSRB),” December 10, 2005, as amended
- DoD Instruction 5200.39, “Critical Program Information (CPI) Identification and Protection Within Research, Development, Test, and Evaluation (RDT&E),” May 28, 2015, as amended

DoD Instruction 5210.84, “Security of DoD Personnel at U.S. Missions Abroad,” January 22, 1992, as amended

DoD Instruction 5530.03, “International Agreements,” December 4, 2019

DoD Instruction 5535.10, “Coordination of DoD Efforts to Identify, Evaluate, and Transfer DoD Technology Items, Equipment, and Services to Federal, State, and Local First Responders,” November 24, 2009, as amended

DoD Instruction 8910.01, “Information Collection and Reporting,” May 19, 2014

DoD Manual 5200.01, Volume 1, “DoD Information Security Program: Overview, Classification, and Declassification,” February 24, 2012, as amended

DoD Manual 5200.01, Volume 3, “DoD Information Security Program: Protection of Classified Information,” February 24, 2012, as amended

Executive Order 13526, “Classified National Security Information,” December 29, 2009, as amended

Executive Order 13528, “Establishment of the Council of Governors,” January 11, 2010

Executive Order 13637, “Administration of Reformed Export Controls,” March 8, 2013

Executive Order 13891, “Promoting the Rule of Law Through Improved Agency Guidance Documents,” October 9, 2019

National Defense Authorization Act for Fiscal Year 2014, Section 932

National Defense Authorization Act for Fiscal Year 2018, Section 1279C

National Defense Authorization Act for Fiscal Year 2019, Section 936

National Defense Authorization Act for Fiscal Year 2020, Sections 905 and 1213

National Policy and Procedures for the Disclosure of Classified Military Information to Foreign Governments and International Organizations (Short Title: National Disclosure Policy (NDP-1), February 14, 2017¹

National Security Strategy of the United States²

Office of the Under Secretary of Defense for Policy, “Defense Planning Guidance Fiscal Years 2017-2021,” March 2015, as amended¹

Presidential Decision Directive-32, “Freedom of Navigation,” January 1995¹

Presidential Policy Directive-4, “National Space Policy,” June 29, 2010³

Presidential Policy Directive-8, “National Preparedness,” March 30, 2011

Presidential Policy Directive-21, “Critical Infrastructure Security and Resilience,” February 12, 2013

Presidential Policy Directive-40, “National Continuity Policy,” July 15, 2016

Public Law 114-92, Section 1263

Secretary of Defense Memorandum, “Delegation of Authority for the Global Security Contingency Fund,” June 10, 2019

¹ Document is classified with limited distribution.

² Document is classified. Contact OSD Space Policy for additional information.

³ Document is classified with limited distribution. Contact OSD Space Policy for additional information.

Secretary of Defense Memorandum, “Delegation of Authority – Section 1263 of the National Defense Authorization Act for Fiscal Year 2016, the “South China Sea Initiative,” March 15, 2016

Secretary of Defense Memorandum, “Delegation of DoD Rewards Program Authorities,” May 18, 2015

Secretary of Defense Memorandum, “Delegation of Waiver Authority Under Section 1022(d)(2)(B) of the National Defense Authorization Act for Fiscal Year 2004, as amended, to Provide Support to Law Enforcement Entities Conducting Counterterrorism Activities,” October 26, 2015

Secretary of Defense Memorandum, “Additional Guidance on Implementation of Section 8057(b), DoD Appropriations Act, 2014 (Division C of Public Law 113-76) (“the DoD Leahy law”) and New or Fundamentally Different Units,” February 10, 2015

Secretary of Defense Memorandum, “Implementation of Section 8057, DoD Appropriations Act, 2014 (Division C of Public Law 113-76) (“the DoD Leahy law”),” August 18, 2014

United States Code, Title 1, Section 112b

United States Code, Title 10

United States Code, Title 22

United States Code, Title 50