



# Department of Defense

## DIRECTIVE

NUMBER 5111.12E

March 18, 2010

Incorporating Change 1, August 23, 2017

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DCMO

SUBJECT: Western Hemisphere Institute for Security Cooperation (WHINSEC)

References: See Enclosure

1. PURPOSE. This Directive:

- a. Reissues DoD Directive (DoDD) 5111.12 (Reference (a)).
- b. Continues the WHINSEC with the mission, organization and management, responsibilities and functions, authorities, and relationships as prescribed herein pursuant to the authorities provided in section 343 of title 10, United States Code (U.S.C.) (Reference (b)).
- c. Designates the Secretary of the Army as the DoD Executive Agent (EA) for the WHINSEC with the authority and responsibility to operate the WHINSEC as an education and training facility on behalf of the Secretary of Defense pursuant to sections 113 and 343 of Reference (b), DoDD 5101.1 (Reference (c)), and the guidance contained in this Directive.

2. APPLICABILITY. This Directive applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (hereafter referred to collectively as the "DoD Components").

3. MISSION. The WHINSEC shall provide professional education and training to eligible military, law enforcement, and civilian personnel of nations of the Western Hemisphere within the context of the democratic principles set forth in the Charter of the Organization of American States (Reference (d)), such charter being a treaty to which the United States is a party. The WHINSEC shall foster mutual knowledge, transparency, confidence, and cooperation among the participating nations and promote democratic values, respect for human rights, and knowledge and understanding of U.S. customs and traditions.

#### 4. ORGANIZATION AND MANAGEMENT

a. The WHINSEC is established as a DoD school under the authority, direction, and control of the Secretary of the Army, as the DoD EA for the WHINSEC.

b. The WHINSEC shall consist of a Commandant and such subordinate organizational elements as are established by the Commandant within the resources authorized by the Secretary of the Army, as the DoD EA. The Commandant of the WHINSEC shall be appointed by the Secretary of the Army, as the DoD EA for the WHINSEC, with the concurrence of the Under Secretary of Defense for Policy (USD(P)), who serves as the OSD Principal Staff Assistant assigned to oversee the activities of the DoD EA.

c. A Board of Visitors for the WHINSEC shall be maintained in accordance with section 343 of Reference (b).

#### 5. RESPONSIBILITIES AND FUNCTIONS

a. Secretary of the Army. The Secretary of the Army, as the DoD EA for the WHINSEC, shall:

(1) Operate the WHINSEC for the professional education and training of military, law enforcement, and civilian personnel of nations of the Western Hemisphere in accordance with Federal laws and regulations and this Directive.

(2) Provide timely and fully adequate administrative and resource support for accomplishing the mission assigned to the WHINSEC.

(3) Develop and implement a curriculum for the WHINSEC in accordance with section 343 of Reference (b) that:

(a) Includes mandatory instruction for each student, for at least 8 hours, on human rights, the rule of law, due process, civilian control of the military, and the role of the military in a democratic society.

(b) Supports the democratic principles set forth in Reference (d).

(c) Is consistent with U.S. policy goals for the Western Hemisphere, the WHINSEC mission, and regional plans of the U.S. Southern Command (USSOUTHCOM) and U.S. Northern Command (USNORTHCOM).

(d) Incorporates current U.S. doctrine.

(e) Provides for guest instructors, guest speakers, and fellows programs as appropriate.

(4) Establish a process for the selection of foreign personnel to attend the WHINSEC that incorporates consultation with the Secretary of State.

(5) Maintain a Board of Visitors according to section 343 of Reference (b) and consistent with the Federal Advisory Committee Act, title 5, U.S.C., Appendix (Reference (e)) and DoD Instruction (DoDI) 5105.04 (Reference (f)) in coordination with the USD(P) and the Deputy Chief Management Officer of the Department of Defense.

(6) Prepare a report to Congress in coordination with the USD(P) and in consultation with the Secretary of State, describing the activities of the WHINSEC during the preceding year. The report shall include descriptions of the curriculum and significant curriculum changes, the student participants, costs to operate and maintain the WHINSEC, a copy of the latest report of the Board of Visitors, and other topics, as appropriate. This report shall be prepared, coordinated, and submitted to Congress by March 15 of each year.

(7) Establish, maintain, and preserve records that document the transaction of business of the WHINSEC to provide evidence of the organization, functions, policies, procedures, decisions, and activities in accordance with DoDI 5015.02 (Reference (g)).

b. USD(P). The USD(P) shall:

(1) Coordinate with the Secretary of the Army, as the DoD EA for the WHINSEC, on:

(a) Matters pertaining to the WHINSEC, including the appointment of the Commandant, establishment of a Board of Visitors, and revisions to the WHINSEC curriculum.

(b) The preparation of the report to Congress required by section 343 of Reference (b).

(2) Ensure compliance with appropriate public disclosure and classified reporting requirements.

c. Chairman of the Joint Chiefs of Staff. The Chairman of the Joint Chiefs of Staff shall:

(1) As requested by the Secretary of the Army, as the DoD EA for the WHINSEC, or the USD(P), coordinate on matters pertaining to the WHINSEC.

(2) Consider, as required, any inputs from the Commanders of USSOUTHCOM and USNORTHCOM as they pertain to the WHINSEC and provide military advice to assist in resolving any conflicts pertaining to the WHINSEC.

d. Commanders of USSOUTHCOM and USNORTHCOM. The Commanders of USSOUTHCOM and USNORTHCOM shall:

(1) As requested by the Secretary of the Army, as the DoD EA for the WHINSEC, or the USD(P), coordinate on matters pertaining to the WHINSEC.

(2) As requested, provide the Secretary of the Army, as the DoD EA for the WHINSEC, input on matters pertaining to the WHINSEC, while keeping the Chairman of the Joint Chiefs of Staff properly informed of all such communications.

6. **RELATIONSHIPS.** In the performance of assigned functions and responsibilities, the Secretary of the Army shall use existing facilities and services of the Department of Defense and other Federal agencies, when possible, to avoid duplication and to achieve an appropriate balance among modernization, readiness, sustainability, efficiency, and economy.

7. **AUTHORITIES.** The Secretary of the Army, as the DoD EA for the WHINSEC, is delegated the authorities and assigned the duties of the Secretary of Defense under section 343 of Reference (b), except that the Secretary of Defense retains the authorities enumerated in section 343(e)(1)(C), (e)(4)(A), (e)(5), (e)(7), and (i) of Reference (b). The Secretary of the Army, as the DoD EA for the WHINSEC, is further delegated the authority to:

a. Arrange for and execute inter-Service support agreements, in accordance with DoDI 4000.19 (Reference (h)); memorandums of understanding with Federal agencies; and other necessary arrangements, as required, to fulfill assigned responsibilities and functions.

b. Obtain reports and information consistent with DoDI 8910.01 (Reference (i)), as necessary, to carry out assigned responsibilities and functions.

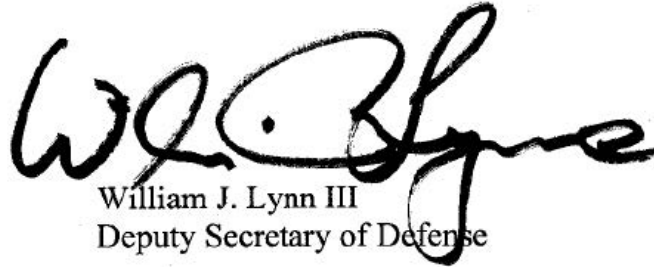
c. Communicate directly with the Heads of the DoD Components, as necessary, to carry out assigned responsibilities and functions, including the transmission of requests for advice and assistance. Communications to the other Military Departments shall be transmitted through the Secretary of the Military Department concerned, their designees, or as otherwise provided in law or directed by the Secretary of Defense in other DoD issuances. Communications to the Commanders of the Combatant Commands, other than to the Commanders of USSOUTHCOM and USNORTHCOM, on matters pertaining to the WHINSEC normally shall be transmitted through the Chairman of the Joint Chiefs of Staff.

d. Communicate with other Government officials, representatives of the Legislative Branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities. Communications with representatives of the Legislative Branch shall be coordinated with the Assistant Secretary of Defense for Legislative Affairs or the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense, as appropriate, and be consistent with the DoD Legislative Program, as appropriate.

8. **RELEASABILITY.** **Cleared for public release.** This directive is available on the Directives Division Website at <http://www.esd.whs.mil/DD/>.

9. SUMMARY OF CHANGE 1. The changes to this issuance are administrative and update organizational titles and references for accuracy.

10. EFFECTIVE DATE. This Directive is effective immediately.



William J. Lynn III  
Deputy Secretary of Defense

Enclosure  
References

ENCLOSURE

REFERENCES

- (a) DoD Directive 5111.12, "Western Hemisphere Institute for Security Cooperation," June 17, 2002 (hereby canceled)
- (b) Sections 113 and 343 of title 10, United States Code
- (c) DoD Directive 5101.1, "DoD Executive Agent," September 3, 2002, as amended
- (d) Charter of the Organization of American States, as amended<sup>1</sup>
- (e) Federal Advisory Committee Act, as amended, title 5, United States Code, Appendix
- (f) DoD Instruction 5105.04, "Department of Defense Federal Advisory Committee Management Program," August 6, 2007
- (g) DoD Instruction 5015.02, "DoD Records Management Program," February 24, 2015
- (h) DoD Instruction 4000.19, "Support Agreements," April 25, 2013
- (i) DoD Instruction 8910.01, "Information Collection and Reporting," May 19, 2014

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<sup>1</sup> Available at [http://www.oas.org/en/sla/dil/inter\\_american\\_treaties\\_A-41\\_charter\\_OAS.asp](http://www.oas.org/en/sla/dil/inter_american_treaties_A-41_charter_OAS.asp).