



Department of Defense

DIRECTIVE

NUMBER 5111.18

June 13, 2011

DA&M

SUBJECT: Assistant Secretary of Defense for Global Strategic Affairs (ASD(GSA))

References: See Enclosure 1

1. **PURPOSE.** Pursuant to the authority vested in the Secretary of Defense by sections 113 and 138 of title 10, United States Code (Reference (a)), this Directive:

a. Assigns the responsibilities and functions, relationships, and authorities of the ASD(GSA), as prescribed herein.

b. Supersedes and cancels DoD Directive 5111.14 (Reference (b)).

2. **APPLICABILITY.** This Directive applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the DoD, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (hereafter referred to collectively as the "DoD Components").

3. **RESPONSIBILITIES AND FUNCTIONS.** The ASD(GSA) is the principal advisor to the Under Secretary of Defense for Policy (USD(P)) and the Secretary of Defense responsible for formulating and coordinating DoD strategy and policy on issues of DoD interest that relate to the functional focus of the ASD(GSA), such as nuclear deterrence and missile defense policy, space policy, cyberspace policy, and countering weapons of mass destruction (WMD) policy. Enclosure 2 provides the details of the functional focus of the ASD(GSA). In this capacity, the ASD(GSA) shall:

a. Represent the USD(P) and the Secretary of Defense in interagency policy deliberations and international negotiations relating to assigned areas of responsibility.

b. Participate in those planning, programming, budgeting, and execution activities that relate to assigned areas of responsibility.

c. Perform such other duties as the USD(P) or the Secretary of Defense may prescribe.

4. RELATIONSHIPS

a. In the performance of assigned functions and responsibilities, the ASD(GSA) shall serve under the authority, direction, and control of the Secretary of Defense, through the USD(P), and shall:

(1) Report directly to the USD(P).

(2) Coordinate and exchange information with other Office of the USD(P) (OUSD(P)) officials with associated regional or functional responsibilities.

(3) Coordinate, in the development of DoD policy in assigned areas of responsibility, with other OSD officials, the Heads of the DoD Components, and Federal officials having collateral or related functions. Due to the highly federated nature of the functional areas identified in Enclosure 2, carefully coordinate with officials whose statutory and assigned responsibilities fall within the scope of policy matters being addressed; examples include but are not limited to the USD(AT&L) for acquisition and technology related matters, the ASD(NII)/DoD CIO for information assurance and information resources management related cyber matters, the USD(I) for areas within the scope of the space and cyber matters involving the National Intelligence Program and the Military Intelligence Program, and the Chairman of the Joint Chiefs of Staff for related military matters. As necessary, ensure that the positions of those officials are fully represented when elevating policy matters and DoD policy proposals for consideration.

(4) Use existing systems, facilities, and services of the DoD and other Federal agencies, when possible, to avoid duplication and to achieve maximum efficiency and economy.

b. Other OSD officials and Heads of the DoD Components shall coordinate with the ASD(GSA) on all matters under their purview related to the responsibilities and functions stated herein, and, as necessary, shall ensure that the position of the ASD(GSA) is fully represented when elevating policy matters and DoD policy proposals for consideration.

c. Nothing herein shall be interpreted to subsume or replace the responsibilities, functions, or authorities of the Heads of the DoD Components or other OSD officials as prescribed by law, assigned in Chartering DoD Directives, or provided in DoD policy issuances.

5. AUTHORITIES. The ASD(GSA) is hereby delegated the authority to:

a. Issue DoD Instructions, DoD Manuals, and one-time Directive-Type Memorandums, in accordance with DoD Instruction 5025.01 (Reference (c)), that implement policy approved by the Secretary of Defense or the USD(P) in assigned areas of responsibility. Instructions to the Military Departments shall be issued through the Secretaries of the Military Departments.

Instructions to the Combatant Commands normally shall be communicated through the Chairman of the Joint Chiefs of Staff.

b. Obtain reports and information, in accordance with DoD Instruction 8910.01 (Reference (d)), as necessary to carry out assigned responsibilities and functions.

c. Communicate directly with the Heads of the DoD Components, as necessary, to carry out assigned responsibilities and functions, including transmitting requests for advice and assistance.

(1) Communications to the Military Departments shall be transmitted through the Secretaries of the Military Departments or their designees, or as otherwise provided in law or directed by the Secretary of Defense in other DoD issuances.

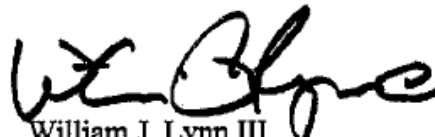
(2) Formal communications to the Commanders of the Combatant Commands normally shall be transmitted through the Chairman of the Joint Chiefs of Staff.

d. Communicate with other U.S. Government officials, members of the Legislative Branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities and functions. Communications with members of the Legislative Branch shall be coordinated with the Assistant Secretary of Defense for Legislative Affairs or the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, as appropriate, and be consistent with the DoD Legislative Program, as appropriate.

e. Negotiate and conclude international agreements in areas of assigned responsibility, in accordance with DoD Directive 5530.3 (Reference (e)) and in coordination with the General Counsel of the DoD.

6. RELEASABILITY. UNLIMITED. This Directive is approved for public release and is available on the Internet from the DoD Issuances Website at <http://www.dtic.mil/whs/directives>.

7. EFFECTIVE DATE. This Directive is effective upon its publication to the DoD Issuance Website.


William J. Lynn III
Deputy Secretary of Defense

Enclosures

1. References
2. Functional Focus of ASD(GSA)

Glossary

ENCLOSURE 1

REFERENCES

- (a) Title 10, United States Code
- (b) DoD Directive 5111.14, "Assistant Secretary of Defense for International Security Policy (ASD(ISP))," March 22, 2005 (hereby cancelled)
- (c) DoD Instruction 5025.01, "DoD Directives Program," October 28, 2007
- (d) DoD Instruction 8910.01, "Information Collection and Reporting," March 6, 2007
- (e) DoD Directive 5530.3 "International Agreements," June 11, 1987
- (f) DoD Directive 2060.02 "Department of Defense (DoD) Combating Weapons of Mass Destruction (WMD) Policy," April 19, 2007
- (g) DoD Directive 5105.62, "Defense Threat Reduction Agency (DTRA)," November 28, 2005
- (h) DoD Directive 5134.08, "Assistant to the Secretary of Defense for Nuclear and Biological and Chemical Defense Programs (ATSD(NCB))," January 14, 2009
- (i) DoD Directive 2060.1 "Implementation of, and Compliance with, Arms Control Agreements," January 9, 2001
- (j) DoD Directive 3100.16, "DoD Management of Space Professional Development," January 26, 2009

ENCLOSURE 2

FUNCTIONAL FOCUS OF ASD(GSA)

1. NUCLEAR DETERRENCE AND MISSILE DEFENSE POLICY. Specific functional focus of the ASD(GSA) includes:

a. Serving as the lead within the DoD for policy matters related to nuclear deterrence and missile defense.

b. Serving as the primary point of contact within the OUSD(P) for development and implementation of the Nuclear Posture Review and Ballistic Missile Defense Review and of policies derived from or developed consistent with those reviews.

c. Analyzing, developing, coordinating, and overseeing implementation of U.S. Government and DoD policy and guidance for nuclear deterrence and missile defense.

d. Developing and coordinating DoD policy and guidance on: U.S. nuclear deterrence, including force structure requirements and posture; the effective control of nuclear forces and operation of the force in peacetime, crisis, and war; arms control negotiations, implementation, and verification related to nuclear weapon systems; non-nuclear strategic strike capabilities, including force structure requirements, posture, and deployment plans; and U.S. ballistic missile defenses, including the employment, force structure requirements, posture and deployment plans, and other guidance to the Missile Defense Agency, under the authority, direction, and control of the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)), and as well as to the Military Departments and the Combatant Commands.

e. In coordination with the Assistant Secretary of Defense for International Security Affairs and interagency partners, interacting on nuclear deterrence and missile defense matters with other states, as appropriate.

f. Promoting coordination, cooperation, and joint planning on nuclear policy and strategy with North Atlantic Treaty Organization (NATO) allies, including responsibilities regarding the NATO Nuclear Planning Group and its subordinate body, the High Level Group; and Chairing the High Level Group.

g. Promoting ballistic missile defense cooperation with friends, allies, and partners, including the sharing of U.S. ballistic missile early-warning data and defense capabilities.

h. Reviewing, evaluating, and coordinating nuclear and missile defense-related DoD Special Access Programs (SAP) activities, programs, plans, and systems requirements, and providing approved recommendations to the USD(P) and to the Secretary of Defense.

2. COUNTERING WMD POLICY. Specific functional focus of the ASD(GSA) includes:

- a. Serving as the primary point of contact within the OUSD(P) for development and implementation of policies to counter WMD, in accordance with DoD Directive 2060.02 (Reference (f)). As these policies pertain to homeland defense activities, ensure coordination with and acknowledge the role of the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs as lead in this area.
- b. Providing DoD policy and guidance for the programs and activities of the DoD Cooperative Threat Reduction (CTR) Program, which includes the safety, security, and dismantlement of WMD and associated materials and infrastructure. The CTR Program shall be implemented by the Director, Defense Threat Reduction Agency, serving under the authority, direction, and control of the USD(AT&L), through the Assistant Secretary of Defense for Nuclear, Chemical, and Biological Defense Programs (ASD(NCB)), in accordance with DoD Directive 5105.62 (Reference (g)). The ASD(GSA) shall collaborate with the ASD(NCB) so that effective oversight of the CTR Program is exercised, in accordance with Reference (f) and DoD Directive 5134.08 (Reference (h)).
- c. Developing, coordinating, and monitoring implementation of DoD policies for arms control and nonproliferation of nuclear, radiological, chemical, biological, and advanced conventional weapons and missiles, and international technology transfers.
- d. Overseeing countering WMD activities, including serving as the co-chair of the Combating WMD Coordination Group and chairing the NATO Committee on Proliferation.
- e. Developing policy for building U.S. and partner capacity in chemical, biological, radiological, and nuclear defense capabilities and combating transnational threats; overseeing related multilateral programs, such as the Proliferation Security Initiative, the Missile Technology Control Regime, the Nuclear Suppliers Group, the Australia Group, and the Global Initiative to Combat Nuclear Terrorism.
- f. Developing and overseeing DoD policy regarding international sanctions regimes against rogue states.
- g. Developing and overseeing DoD policy for oceans policy (including the Law of the Sea Convention).
- h. Developing and overseeing DoD policy regarding international weapons agreements and regimes promulgated by the United Nations.
- i. Providing input to the Intelligence Community for intelligence tasking, collection, and analysis. Integrating proliferation intelligence information, proliferation threat assessments, and proliferation-related information received from other sources into the policy formulation process and coordinating such matters with the Under Secretary of Defense for Intelligence (USD(I)).
- j. Serving as the Secretary of Defense representative for non-proliferation and arms control matters, including but not limited to those involving the Biological Weapons Convention and the

Chemical Weapons Convention, with the National Security Staff, the Department of State, and other departments, agencies, and interagency groups. Developing and coordinating DoD policies and positions for international negotiations on arms control implementation and compliance issues, in accordance with DoD Directive 2060.1 (Reference (i)).

k. Serving as the principal advisor to the USD(P) and the Secretary of Defense for Foreign Consequence Management policy.

3. CYBER POLICY. Specific functional focus of the ASD(GSA) includes:

a. Serving as lead within the DoD to develop, coordinate, and monitor implementation of overarching DoD policy related to cyberspace.

b. Serving as the primary point of contact within the OUSD(P) for the development and implementation of the National Cyber Security Strategy and the National Defense Strategy for Cyberspace Operations.

c. Providing policy oversight of the programs and activities of U.S. Cyber Command.

d. Overseeing the implementation of DoD strategy and plans related to cyberspace operations, forces, systems, and activities.

e. Formulating DoD policy guidance on cyber engagement with international organizations.

f. Monitoring programs and activities associated with implementation of cyber cadre development, recruitment, and retention, in coordination with the Under Secretary of Defense for Personnel and Readiness (USD(P&R)).

g. Developing, coordinating, and monitoring DoD policy guidance on innovation and capabilities development for cyberspace operations; and, as appropriate, coordinating on associated policy proposed consistent with the responsibilities of other DoD officials.

h. Overseeing, in coordination with the Chairman of the Joint Chiefs of Staff (or Joint Staff) and other DoD officials, as appropriate, programs and activities associated with integration of cyberspace capabilities into operations and contingency plans.

i. Formulating DoD policy guidance on private sector outreach, engagement, and agreements, including the Defense Industrial Base.

4. SPACE POLICY. Specific functional focus of the ASD(GSA) includes:

a. Serving as the lead within the DoD to develop, coordinate, and monitor implementation of overarching DoD policy related to space.

- b. Serving as the primary point of contact within the OUSD(P) for development, coordination, and implementation of policies derived from or developed consistent with the National Space Policy and National Security Space Strategy.
- c. Analyzing, developing, coordinating, and overseeing implementation of U.S. Government and DoD policy and guidance for DoD activities in space.
- d. Formulating DoD policy and guidance on: space forces, systems, and their employment; international agreements and legal regimes, including arms control and transparency and confidence-building measures affecting space activities; integration of space capabilities into operations and contingency plans; and space cooperation with foreign defense departments, ministries, and governments.
- e. Reviewing, evaluating, and coordinating assigned space and space-related DoD SAP activities (including SAP employment in combat operations), programs, plans, and systems requirements; and providing approved recommendations to the USD(P) and the Secretary of Defense.
- f. Overseeing the implementation of DoD strategy and plans related to space forces, systems, and activities.
- g. Reviewing and evaluating space and space-related programs, plans, and systems requirements, in coordination with other DoD and OSD officials as appropriate.
- h. Overseeing the management of the cadre of space professionals within the DoD, in accordance with DoD Directive 3100.16 (Reference (j)).
- i. Appointing, in coordination with the USD(I), the Information Operations and Space Executive Committee Secretariat Staff.

GLOSSARY

ABBREVIATIONS AND ACRONYMS

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|-----------|---|
| ASD(GSA) | Assistant Secretary of Defense for Global Strategic Affairs |
| ASD(NCB) | Assistant Secretary of Defense for Nuclear, Chemical, and Biological Defense Programs |
| CTR | Cooperative Threat Reduction |
| NATO | North Atlantic Treaty Organization |
| OUSD(P) | Office of the Under Secretary of Defense for Policy |
| SAP | special access program |
| USD(AT&L) | Under Secretary of Defense for Acquisition, Technology, and Logistics |
| USD(I) | Under Secretary of Defense for Intelligence |
| USD(P) | Under Secretary of Defense for Policy |
| USD(P&R) | Under Secretary of Defense for Personnel and Readiness |
| WMD | weapons of mass destruction |