



Department of Defense INSTRUCTION

NUMBER 3115.14

July 29, 2011

Incorporating Change 2, Effective August 25, 2020

USD(I&S)

SUBJECT: Civil Aviation Intelligence

- References:
- (a) DoD Directive 5143.01, "Under Secretary of Defense for Intelligence and Security (USD(I&S)),” October 24, 2014, as amended
 - (b) Directive Type Memorandum 10-004, "Policy Guidance for DoD Civil Aviation Intelligence,” July 23, 2010 (hereby cancelled)
 - (c) Public Law 116-92, "National Defense Authorization Act for Fiscal Year 2020,” December 20, 2019
 - (d) DoD Manual 5240.01, "Procedures Governing the Conduct of DoD Intelligence Activities,” August 8, 2016
 - (e) DoD Directive 5105.21, "Defense Intelligence Agency,” March 18, 2008
 - (f) National Security Presidential Directive (NSPD)-47/Homeland Security Presidential Directive-16, "Aviation Security Policy,” June 20, 2006
 - (g) Department of Homeland Security, "Air Domain Surveillance and Intelligence Integration Plan,” March 26, 2007

1. PURPOSE. This Instruction:

a. Establishes policy and assigns responsibilities for civil aviation intelligence for the DoD in accordance with the authority in Reference (a).

b. Incorporates and cancels Reference (b).

2. APPLICABILITY. This Instruction applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands (CCMDs), the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (hereinafter referred to collectively as the "DoD Components").

3. DEFINITIONS. See Glossary.

4. POLICY. It is DoD policy that:

a. There shall be a single lead DoD intelligence representative for the DoD civil aviation intelligence mission.

b. There shall be a single focal point in DoD for intelligence on foreign civil aviation-related entities associated with illicit activities or posing a threat to the United States, its allies, or its interests.

c. Civil aviation intelligence and other air domain awareness capabilities shall, to the extent possible and practicable, be compatible with or complimentary to maritime domain awareness capabilities with the long-term goal of integration.

5. RESPONSIBILITIES. See Enclosure.

6. RELEASABILITY. **Cleared for public release.** This Instruction is available on the Directives Division Website at <https://www.esd.whs.mil/DD/>.

7. SUMMARY OF CHANGE 2. This administrative change updates:

a. The title of the Under Secretary of Defense for Intelligence to the Under Secretary of Defense for Intelligence and Security in accordance with Public Law 116-92 (Reference (c)).

b. Administrative changes in accordance with current standards of the Office of the Chief Management Officer of the Department of Defense.

8. EFFECTIVE DATE. This Instruction is effective July 29, 2011.



Michael G. Vickers
Under Secretary of Defense
for Intelligence

Enclosure
Responsibilities
Glossary

ENCLOSURE

RESPONSIBILITIES

1. UNDER SECRETARY OF DEFENSE FOR INTELLIGENCE AND SECURITY (USD(I&S)). The USD(I&S), pursuant to Reference (a), shall:

- a. Establish DoD civil aviation intelligence policy consistent with DoD 5240.1-R (Reference (d)).
- b. Be the principal DoD representative for interagency civil aviation intelligence policy.
- c. Provide policy support and advocacy for DoD implementation of civil aviation intelligence matters.
- d. Coordinate plans, program, fiscal resources, and activities that support the civil aviation intelligence mission, when necessary, with the Director of National Intelligence.
- e. Oversee DoD civil aviation intelligence plans, programs, and operations.

2. DIRECTORS, DEFENSE INTELLIGENCE AGENCY (DIA), NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY (NGA), NATIONAL RECONNAISSANCE OFFICE (NRO), AND DIRECTOR, NATIONAL SECURITY AGENCY/CHIEF, CENTRAL SECURITY SERVICE (DIRNSA/CHCSS). The Directors, DIA, NGA, and NRO, and the DIRNSA/CHCSS, under the authority, direction, and control of the USD(I&S), shall:

- a. Provide timely intelligence, surveillance, and reconnaissance planning and direction; collection; processing and exploitation; analysis and production; and dissemination in response to Civil Aviation Intelligence Analysis Center (CAIAC) -validated civil aviation intelligence requirements, consistent with established national and DoD intelligence priorities.
- b. Assist CAIAC in the identification and resolution of foreign civil aviation intelligence collection, production, and analysis capability gaps, consistent with established national and DoD intelligence priorities.
- c. Establish direct support relationships with the CAIAC for mission accomplishment.

3. DIRECTORS, DIA AND NGA, AND DIRNSA/CHCSS. The Directors, DIA and NGA, and the DIRNSA/CHCSS, under the authority, direction, and control of the USD(I&S) and in addition to the responsibilities in section 2 of this enclosure, shall provide analytic and technical support to CAIAC.

4. DIRECTOR, DIA. The Director, DIA, in addition to the responsibilities in sections 2 and 3 of this enclosure, under the authority, direction, and control of the USD(I&S) and in coordination with the Secretary of the Air Force or a designated representative, shall coordinate, validate, and prioritize DoD civil aviation intelligence requirements in accordance with DoD Directive 5105.21 (Reference (e)).

5. SECRETARY OF THE AIR FORCE. The Secretary of the Air Force shall:

a. Maintain the DoD CAIAC.

b. Appoint the Director, DoD CAIAC, who shall:

(1) Be the lead DoD civil aviation intelligence representative to the CCMDs, the Intelligence Community, and non-DoD interagency partners.

(2) Coordinate DoD actions to enhance U.S. Government civil aviation intelligence in the near term as a critical component of air domain awareness capabilities.

(3) Provide supervision of CAIAC personnel, to include coordinating with the parent organizations of personnel detailed to the CAIAC from other agencies.

(4) Establish and maintain relationships with key civil aviation intelligence stakeholders.

(5) In coordination with the Director, DIA, or a designated representative, consolidate, review, and validate DoD civil aviation intelligence requirements in accordance with Reference (e).

c. Sustain current activities and resource strategy to support civil aviation intelligence during Fiscal Year (FY) 2012.

d. Develop and submit Program Objective Memorandum plan annually, including 29th Intelligence Squadron/Global Aviation Analysis Signals Intelligence (SIGINT) Team (GAAST) or its successor organization's requirements, to supplement NIP resources so that the CAIAC achieves full operational capability beginning in FY 2013 and subsequently maintains operational capabilities.

e. Integrate other civil aviation intelligence-focused DoD activities, such as the 29th Intelligence Squadron/GAAST or its successor organization, into the CAIAC through embedded personnel, liaisons, and memorandums of agreement.

f. Coordinate and synchronize DoD support for the civil aviation intelligence mission as set forth in Reference (d), National Security Presidential Directive-47/Homeland Security Presidential Directive-16 (Reference (f)), and the Air Domain Surveillance and Intelligence Integration Plan (Reference (g)) with the other elements of the Intelligence Community.

g. Prepare and coordinate DoD planning and operating agreements related to DoD civil aviation intelligence, such as the concept of operations for the DoD CAIAC, with all affected DoD Components.

6. COMMANDERS OF THE CCMDs. The Commanders of the CCMDs shall:

a. Support collection and analysis of current and potential civil aviation intelligence threats.

b. Identify, validate, and submit civil aviation-related intelligence requirements to the CAIAC.

GLOSSARY

PART I. ACRONYMS AND ABBREVIATIONS

CAIAC	Civil Aviation Intelligence Analysis Center
CCMD	Combatant Command
DIA	Defense Intelligence Agency
DIRNSA/CHCSS	Director, National Security Agency/Chief, Central Security Service
FY	fiscal year
GAAST	Global Aviation Analysis SIGINT Team
MIP	Military Intelligence Program
NGA	National Geospatial-Intelligence Agency
NIP	National Intelligence Program
NRO	National Reconnaissance Office
SIGINT	signals intelligence
USD(I&S)	Under Secretary of Defense for Intelligence and Security

PART II. DEFINITIONS

All definitions are for the purposes of this Instruction.

civil aviation. All non-state aviation, to include scheduled and non-scheduled commercial air transport as well as general aviation.

civil aviation intelligence. Activities undertaken to understand how trends in the global civil aviation industry impact U.S. interests; or detect, analyze, monitor, and warn of illicit activity or threats to the United States, its allies, or its interests involving civil aviation.